




# LiteQSign: Lightweight and Scalable Post-Quantum Authentication for Heterogeneous IoT Applications

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**Abstract**—Traditional digital signatures face significant challenges on low-end IoT devices due to limited computational power, memory, and energy resources. Simultaneously, the rise of quantum computing necessitates post-quantum (PQ) secure alternatives. However, PQ-secure signatures typically incur substantial costs, hindering their adoption in IoT applications like wearable health devices, trackers, and smart sensors, where efficient signature generation is critical for prolonged device lifespan and optimal resource utilization. To address these challenges, we present LiteQSign (LiteQS), a novel lightweight PQ signature scheme that achieves near-optimal signature generation efficiency with only a small, constant number of hash operations per signing process. The core innovation lies in enabling verifiers to obtain one-time hash-based public keys without interacting with signers or third parties through secure computation. This design eliminates the need for non-colluding verification servers, secure enclaves, or trusted assisting entities, thereby reducing security risks and extending IoT device battery life with minimal cryptographic overhead. We formally prove the security of LiteQS in the random oracle model and conduct a thorough performance analysis, demonstrating that it outperforms NIST PQC standards with significantly lower computational overhead, minimal memory usage, and a compact signature footprint. Experiments on an 8-bit microcontroller show that LiteQS achieves 20× faster signature generation while producing smaller signature and private key sizes compared to state-of-the-art schemes.

**Index Terms**—Internet of Things, Lightweight Authentication, Digital Signatures, Post-Quantum Security

## I. INTRODUCTION

The proliferation of resource-constrained IoT devices, coupled with their extensive integration into critical domains such as healthcare, industrial automation, and financial services, introduces significant security challenges. While these devices deliver substantial utility and advancements, their operation in challenging environments and inherent limitations make them the most vulnerable link in the security chain. With billions of IoT devices interconnected across networks, many function in environments that process sensitive or personal data, including healthcare records [1], military communications [2], and security logs [3], [4], while executing essential operations such as unlocking smart doors, regulating industrial machinery [5], and administering medical treatments [6]. For instance, compromised authentication undermines the integrity of data from implantable devices, rendering them ineffective and potentially endangering patients, such as failing to correct a slow heartbeat in time [7]. Therefore, ensuring the authenticity of these devices and the integrity of their processed

data is imperative to safeguarding networks from malicious entities, preserving the integrity of transmitted information, and preventing unauthorized access to critical system controls. Also, IoT devices require periodic updates to address security vulnerabilities and enhance functionality. Verifying the authenticity and integrity of firmware and software updates is crucial to preventing the installation of updates from untrusted sources, thereby maintaining overall system security [8], [3].

A “digital signature” serves as the cornerstone cryptographic solution for ensuring authentication and data integrity while providing essential features such as non-repudiation, public verifiability, and scalability [9]. These properties enable IoT systems to operate securely and efficiently, mitigating risks of unauthorized access, data breaches, device manipulation, and network disruptions. Given the diverse operational constraints of IoT applications—particularly in computation, latency, and energy efficiency—authentication mechanisms must be tailored accordingly. Here, we focus on IoT-enabled applications that prioritize fast signing due to resource constraints and real-time operational demands, while slower verification is acceptable on backend systems. Numerous IoT use cases align with this model, including environmental and industrial sensors that periodically transmit data, such as temperature or air quality, to central servers, while smart utility meters (e.g., energy, water, gas) frequently report usage data [10], [11]. Wearable health devices continuously collect biometric metrics, forwarding them to paired devices or the cloud [12], [13]. Remote IoT nodes, including wildlife trackers and agricultural sensors [14], operate in power-constrained environments, transmitting critical updates. RFID/NFC-based asset tracking systems enable real-time identification with backend verification [15], while smart city sensors for traffic, pollution, and waste management autonomously collect and transmit data on low power, prioritizing rapid signing for real-time operations and deferred verification [16].

Therefore, designing practical authentication solutions for these heterogeneous IoT-enabled applications necessitates addressing the following key considerations:

(1) *Post-Quantum Security*: The rise of quantum computing threatens traditional digital signatures based on number-theoretic assumptions (e.g., factorization, discrete logarithm) [17], [18], [19], necessitating long-term security solutions with PQ guarantees for IoT applications handling sensitive data and critical operations. Many IoT devices have long lifespans and cannot easily transition to new cryptographic frameworks once deployed, making immediate PQ adoption essential [20]. For instance, updating heart implants requires surgery, while upgrading mass-produced smart meters at scale is costly and impractical [21]. Moreover, resource constraints

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such as limited processing power, memory, and battery life (e.g., 8-bit microcontrollers) further exacerbate PQ deployment. Addressing these challenges is critical for securing IoT-enabled environments, ensuring long-term resilience against emerging quantum threats [22].

*(II) Computationally Efficient Signature Generation with Minimal Energy Usage:* The primary consideration is fast and efficient signature generation with low latency on the signer side to enable real-time operations with minimal delays. Also, many embedded IoT devices are battery-powered [23], making energy efficiency crucial. For instance, in wearables, prolonged battery life enhances usability [4], while for implantable devices, it directly impacts patient well-being, as replacements may require surgical intervention [23]. Hence, the underlying cryptographic mechanism must minimize energy consumption to extend device longevity. However, even standard digital signatures based on conventional security (e.g., Elliptic Curve Cryptography) have been shown to degrade battery life in low-end IoT devices [24], [25]. This challenge is further intensified when PQ security is considered [26], [20].

*(III) Minimal Cryptographic Memory and Bandwidth Usage:* (i) Embedded IoT devices often have a compact memory space, such as the widely used 8-bit ATxmega128A1 MCU, which provides only 128KB of static flash memory<sup>1</sup>. Given these constraints, an ideal lightweight signature should feature compact key sizes and minimal memory expansion during computation. (ii) Cryptographic memory usage is also affected by code size, where simpler implementations using basic operations (e.g., hashing) over complex computations (e.g., EC scalar multiplication [27], sampling [28]) improve efficiency and reduce energy consumption. (iii) Additionally, signature size impacts memory, bandwidth, and power usage, as larger transmissions increase battery drain [29]. The large key and signature sizes of PQ-secure signatures further complicate deployment on low-end IoT devices.

*(IV) Scalability, Minimal Security Assumptions, and Improved Robustness* (i) Scalability and Interoperability: Ensuring seamless communication among diverse devices in heterogeneous IoT ecosystems requires an authentication scheme that efficiently scales across millions of interconnected, resource-limited devices. Given the challenges of key management in large-scale IoT networks with constrained connectivity, maintaining constant-size public keys and lightweight mechanisms for key distribution, renewal, and revocation is essential. (ii) Minimal Security Assumptions: While some schemes improve efficiency by assuming semi-honest, non-colluding servers or secure enclaves [22], [3]—the security-sensitive nature of IoT-enabled applications necessitates avoiding such dependencies. Eliminating extra architectural assumptions strengthens long-term trust, not only through PQ cryptographic guarantees but also by enhancing resilience against emerging threats. (iii) Robustness Against Side-Channel Attacks: PQ signatures involve Gaussian sampling [30], rejection sampling [28], and complex arithmetic [31], [26], making them vulnerable to side-channel attacks [32], [33]. These risks are amplified in embedded architectures due to the difficulty of

implementing countermeasures [4]. Additionally, low-end IoT devices often generate low-quality random numbers, exposing them to cryptographic vulnerabilities [34]. Addressing these challenges is essential for securing IoT systems.

#### A. Related Work and Limitations of the State-of-the-Art

This section examines state-of-the-art signatures that address key considerations for IoT-enabled applications, with a focus on schemes offering PQ security, computationally efficient signing, and compact public key and signature sizes. Given the extensive range of proposed signatures, we first provide a brief overview of prominent conventional signatures before shifting to PQ alternatives, including both standardized schemes and those optimized for signing efficiency.

*Conventional lightweight signatures:* These schemes offer efficient signature generation, compact key sizes, and additional security guarantees [35], [25], [36], [37]. Among conventional signatures, EC Schnorr-based schemes [27] are particularly efficient compared to pairing-based [38] and factorization-based [39] alternatives. For instance, a recent EC-based scheme [25] enhances signer efficiency and supports single-signer signature aggregation, while Chen et al. [37] integrate confidentiality with authentication. However, despite their advantages, none of these ECC-based schemes or similar conventional signatures provide PQ security.

*Standard PQ signatures:* NIST has recently standardized Dilithium (FIPS 204) as a lattice-based and SPHINCS+ (FIPS 205) as a hash-based digital signature standard [40]. The following discusses these domains in detail.

Known for their minimal intractability assumptions, hash-based signatures provide strong security guarantees. The stateless SPHINCS+ [41], derived from a variant of the one-time signature scheme HORS [42], employs a hyper-tree structure for multiple-time signatures. While SPHINCS+ ensures PQ security with strong assumptions, its signing time and signature size are orders of magnitude slower and larger than ECDSA, making it unsuitable for resource-constrained IoT devices. Similarly, stateful hash-based signatures (e.g., RFC-standardized XMSS-MT [43] and LMS [44]), built on HORS variants like W-OTS [45], offer comparable security and forward security. However, their state management requirements, high computational cost, and memory demands render them impractical for low-end IoT devices.

Based on module lattice problems (e.g., LWE [28]), lattice-based signatures offer a balanced trade-off between signing and verification efficiency. NIST-selected schemes, Dilithium [28] and Falcon [30], achieve smaller signatures and faster signing than SPHINCS+. However, they remain unsuitable for resource-constrained IoT devices due to their computational complexity and larger signature sizes compared to conventional signatures. Additionally, techniques such as Gaussian and rejection sampling introduce vulnerabilities to side-channel attacks [32]. To date, no open-source lattice-based signature implementation is optimized for highly constrained devices like 8-bit microcontrollers, except for BLISS [31], which was not selected as a NIST PQC standard [46] and is susceptible to side-channel attacks [33].

<sup>1</sup><https://www.microchip.com/en-us/product/atxmega128a1>

*Additional PQ Signatures for Standardization:* o diversify PQ signature standards, NIST launched a competition alongside its standardized schemes, emphasizing fast verification and compact signatures. Currently in its second round, it includes submissions across various PQC categories, such as code-based [47], multivariate-based [48], and symmetric-based [49] signatures. For instance, Shim et al. [26] improves upon NIST PQC standards with efficient signing and smaller signatures but suffers from large private key and code sizes, making it impractical for IoT devices. Its 12.6KB private key is an order of magnitude larger than ECDSA, and its implementation occupies 62.6% of the total flash memory on an ATxmega128A1 MCU, imposing significant memory overhead. Despite PQC advancements, computational and memory constraints remain major obstacles for low-end IoT devices, particularly those operating on 8-bit MCUs [46]. Also, many multivariate signatures not only demand extensive memory and stack resources but are also susceptible to polynomial-time attacks, compromising their unforgeability [50].

*Lightweight PQ signatures with Additional Assumptions:* These schemes achieve highly efficient signature generation but rely on additional assumptions [3], [22], [51]. For example, ANT [3], a lattice-based signature, delegates costly commitment generation to distributed, non-colluding, semi-honest servers [52]. However, verifiers must interact with these servers before verification, introducing potential network delays and outage risks. Another approach leverages Trusted Execution Environment (TEE)-assisted signatures, offloading computational overhead to TEE-enabled servers [51], [9], [22]. For instance, HASES [9] and its extension [22], derived from the one-time HORS [42], use a single TEE-enabled cloud server to issue one-time public keys. However, reliance on a centralized TEE server introduces key escrow risks and a single point of trust. Due to these additional assumptions, such signatures may not be ideal for IoT-enabled applications that require adherence to traditional public key settings.

Given the limitations of existing digital signatures and the gap in achieving all desirable properties for IoT-enabled applications, there is a critical need for efficient PQ signatures that balance performance and security while enabling signer-optimal generation and deferred verification. This work explores the following key research questions: (i) *Can an efficient PQ signature scheme be designed with optimal signature generation while meeting IoT constraints on memory, processing, and bandwidth?* (ii) *Is it possible to achieve energy-efficient signing without introducing unconventional or risky assumptions for verifiers?* (iii) *Can these requirements be met in a scalable multi-user setting for IoT networks?*

## B. Our Contribution

We propose *LiteQSign*, a novel lightweight PQ signature that enables verifiers to derive one-time public keys independently, eliminating the need for interaction with signers or third parties. By extending the one-time HORS scheme into a multiple-time signature, *LiteQS* allows verifiers to extract one-time keys from a master public key via encrypted pseudo-random function evaluations using fully homomorphic encryption (FHE). To the best of our knowledge, this is the

first HORS-type hash-based scheme that lifts message-signing constraints without relying on semi-honest servers (ANT [3]), trusted hardware (HASES [9]), or the high computational and storage costs of XMSS [53] and SPHINCS+ [41]. Our design offers several desirable properties, as follows:

- ***Signer-Optimal Computation with Energy Efficiency:***

Our scheme makes a single call to the one-time HORS signature scheme while maintaining identical signature sizes, achieving *optimal efficiency* relative to HORS. Consequently, *LiteQS* requires only a small, constant number (e.g., 16) of Pseudo-Random Function (PRF) calls per signing. As shown in Table II, *LiteQS* achieves 19.5 $\times$  and 1130 $\times$  faster signature generation compared to NIST PQC standards Dilithium-II and Falcon-512, respectively. Moreover, it surpasses even the most efficient ECC-based conventional signatures. As detailed in Section VI, this computational efficiency translates directly into significant energy savings.

- ***Minimal Memory Requirements and Bandwidth Efficiency:***

The private key of *LiteQS* consists solely of a single random seed (e.g., 128-bit), and it transmits only one HORS signature per message (i.e., 256 bytes), making it the most compact among PQ counterparts (see Table II). Unlike multivariate- [26] and lattice-based [31] schemes, *LiteQS* has a minimal code size for signature generation. It requires only a few PRF calls and a single hash call, avoiding costly operations like EC scalar multiplication [36] and sampling [33]. Moreover, its use of AES-128 as the PRF and SHA-256 as the hash function ensures standard compliance, facilitating an efficient transition to PQC [22].

- ***Advanced Security Features and Robustness:***

(i) *LiteQS* adheres to the standard public key model, avoiding unconventional assumptions such as non-colluding or trusted key distribution servers [3], which introduce architectural risks. (ii) Unlike lattice-based schemes prone to side-channel and timing attacks due to Gaussian and rejection sampling [32], *LiteQS* relies solely on symmetric cryptographic primitives, eliminating these vulnerabilities. Also, its deterministic signature generation prevents weaknesses from poor random number generators, a common issue in resource-limited IoT devices.

- ***Compact Multi-User Storage and Online/Offline Verification:***

*LiteQS* offers a scalable solution, enabling verifiers to derive one-time public keys for any valid signer and state from a constant-size master public key. This eliminates the need to store individual public keys and certificates, even for large-scale deployments (e.g.,  $2^{20}$  users). Additionally, verifiers can generate one-time public keys on-demand or precompute them before verification. While key construction involves computational overhead due to encrypted function evaluations, it can be performed independently by the verifier or offloaded to a resourceful cloud server, significantly reducing its practical impact.

- ***Limitations and Potential Use-Case:***

These properties make *LiteQS* an ideal PQ signature for lightweight and scalable authentication in resource-limited IoT applications, offering near-optimal signer efficiency with delay-tolerant, resourceful verifiers. *LiteQS* prioritizes high PQ security and robustness, excelling in IoT scenarios where the signer is non-interactive and must operate with maximum efficiency (e.g.,

medical wearables, trackers), while verification can tolerate some delay and storage overhead. In such use cases, device efficiency and battery longevity are paramount. As detailed in Section III (Figure 1), LiteQS is well-suited for heterogeneous IoT environments [54].

## II. PRELIMINARIES

**Notation:**  $|x|$ ,  $||$ , and  $x \xleftarrow{\$} \mathcal{S}$  denote the bit length of the variable  $x$ , concatenation, and choosing  $x$  randomly from the set  $\mathcal{S}$ , respectively.  $\oplus$  is the bitwise-XOR operation.  $\{q_i\}_{i=1}^n$  denotes the set of items  $\{q_1, q_2, \dots, q_n\}$ .  $H: \{0, 1\}^* \rightarrow \{0, 1\}^\kappa$  is a cryptographic hash function.  $\text{PRF}: \{0, 1\}^* \times \{0, 1\}^* \rightarrow \{0, 1\}^\kappa$  is a Pseudo-Random Function (PRF)  $x \leftarrow \text{PRF}(k, M)$  that takes a key  $k$  and message  $M$  as input, and produces  $x$  as the output.  $f: \{0, 1\}^* \rightarrow \{0, 1\}^\kappa$  is a one-way function.  $\{0, 1\} \leftarrow \text{CMP}(x, y)$  denotes the equality comparison function (circuit) of two numerical values (e.g.,  $x$  and  $y$  can be 64-bit integers).  $C \leftarrow E_k(m)$  denotes as the encryption of message  $m$  with the key  $k$  producing ciphertext  $C$ .  $E$  is an Indistinguishability under Chosen-Plaintext Attack (IND-CPA)-secure block cipher.

**Definition 1** Hash to Obtain Random Subset (HORS) [42] is a one-time digital signature comprised of three algorithms:

- $(sk, PK, I_{\text{HORS}}) \leftarrow \text{HORS.Kg}(1^\kappa)$ : Given the security parameter  $\kappa$ , it selects  $I_{\text{HORS}} \leftarrow (k, t)$ , generates  $t$  random  $\kappa$ -bit strings  $\{s_i\}_{i=1}^t$ , and computes  $v_i \leftarrow f(s_i), \forall i = 1, \dots, t$ . Finally, it sets  $sk \leftarrow \{s_i\}_{i=1}^t$  and  $PK \leftarrow \{v_i\}_{i=1}^t$ .
- $\sigma \leftarrow \text{HORS.Sig}(sk, M)$ : Given  $sk$  and message  $M$ , it computes  $h \leftarrow H(M)$ . It splits  $h$  into  $k$  substrings  $\{h_j\}_{j=1}^k$  (where  $|h_j| = \log_2 t$ ) and interprets them as integers  $\{i_j\}_{j=1}^k$ . It outputs  $\sigma \leftarrow \{s_{i_j}\}_{j=1}^k$ .
- $b \leftarrow \text{HORS.Ver}(PK, M, \sigma)$ : Given  $PK$ ,  $M$ , and  $\sigma$ , it computes  $\{i_j\}_{j=1}^k$  as in  $\text{HORS.Sig}(\cdot)$ . If  $v_{i_j} = f(\sigma_j), \forall j = 1, \dots, k$ , it returns  $b = 1$ , otherwise  $b = 0$ .

**Definition 2** A Fully Homomorphic Encryption scheme (FHE) [55] consists of four probabilistic polynomial-time algorithms  $\text{FHE} = (\text{Kg}, \text{Enc}, \text{Eval}, \text{Dec})$  defined as below:

- $(sk', PK', I_{\text{FHE}}) \leftarrow \text{FHE.Kg}(1^\kappa)$ : Given  $\kappa$ , it creates the auxiliary argument  $I_{\text{FHE}}$  and generates FHE private/public key pair  $(sk', PK')$ .
- $C \leftarrow \text{FHE.Enc}(PK', M)$ : Given  $PK'$  and a plaintext  $M$ , it encrypts  $M$  and returns the ciphertext  $C$ .
- $C \leftarrow \text{FHE.Eval}(PK', \mathcal{F}(\vec{c} = \{c_j\}_{j=1}^n))$ : Given  $PK'$ , a function  $\mathcal{F}$ , and a set of input arguments  $\vec{c}$ , it evaluates  $\mathcal{F}$  on  $\vec{c}$  under encryption.
- $M \leftarrow \text{FHE.Dec}(sk', C)$ : Given  $sk'$  and  $C$ , it decrypts  $C$  via  $sk'$  and outputs the plaintext  $M$ .

For illustration,  $\text{FHE.Eval}(PK', \text{PRF}(Y, x))$  and  $\text{FHE.Eval}(PK', \text{CMP}(x_1, x_2))$  evaluate  $\text{PRF}(y, x)$  and  $\text{CMP}(X_1, X_2)$  functions under encryption, where the key  $Y$  and the numerical values  $(X_1, X_2)$  are the encryption of  $y$ ,  $x_1$ , and  $x_2$  under  $PK'$  (i.e.,  $Y \leftarrow \text{FHE.Enc}(PK', y)$ ,  $X_1 \leftarrow \text{FHE.Enc}(PK', x_1)$ ,  $X_2 \leftarrow \text{FHE.Enc}(PK', x_2)$ ), respectively. We choose an IND-CPA secure FHE instantiated

with the Ring Learning With Error (R-LWE) variant of the BGV cryptosystem [56]. Note that these FHE instantiations also have a post-quantum security premise [57].

The Davies-Meyer scheme (DM) [58] is an iterated cryptographic hash function based on a block cipher. In LiteQS, we only rely on the one-wayness (OWF) of DM, which is based on the IND-CPA security of the symmetric cipher  $E$ .

**Definition 3**  $B_n \leftarrow \text{DM}(M, B_0)$ : Given a message  $M = \{m_i\}_{i=1}^n$  with  $n$  blocks, a pre-defined initial value  $I_{\text{DM}} = B_0$ , block cipher  $E$  of length  $k$ , it computes  $n = \lceil \frac{|M|}{k} \rceil$ , and  $B_i = E_{m_i}(B_{i-1}) \oplus m_i, \forall i = 1, 2, \dots, n$ . It outputs  $B_n$ .

**Definition 4** A Public Key Outsourced Signature scheme PKO-SGN =  $(\text{Kg}, \text{Sig}, \text{PKConstr}, \text{Ver})$  is as follows:

- $(PK, sk, I) \leftarrow \text{PKO-SGN.Kg}(1^\kappa, \vec{ID})$ : Given  $\kappa$  and a set of users' identifiers  $\vec{ID}$ , it returns  $PK$  with both FHE and master public keys  $PK = \langle PK', MPK \rangle$ , the private key  $sk = \vec{\gamma}$ , and the system-wide parameters  $I \leftarrow I_{\text{FHE}}$ .
- $\sigma_i^j \leftarrow \text{PKO-SGN.Sig}(\gamma_i, M_j)$ : Given the seed  $\gamma_i \in \vec{\gamma}$  of  $ID_i$  and a message  $M_j$ , it returns the signature  $\sigma_i^j$ .
- $cv_i^j \leftarrow \text{PKO-SGN.PKConstr}(PK, ID_i, j)$ : Given the signer  $ID_i$ , state  $j$ , and  $PK$ , it constructs the required public keys under encryption  $cv_i^j$  via  $\text{FHE.Eval}(\cdot)$ .
- $b \leftarrow \text{PKO-SGN.Ver}(PK_i^j, M_j, \sigma_i^j)$ : Given  $PK_i^j$ ,  $M_j$ , and  $\sigma_i^j$ , it outputs  $b = 1$  if  $\sigma_i^j$  is valid, or  $b = 0$  otherwise.

## III. SYSTEM ARCHITECTURE AND SECURITY MODEL

**System Model:** We adopt the traditional public-key-based broadcast authentication model, tailored for diverse IoT-enabled applications while addressing key design considerations. Figure 1 illustrates the entities in our architecture, detailed as follows:

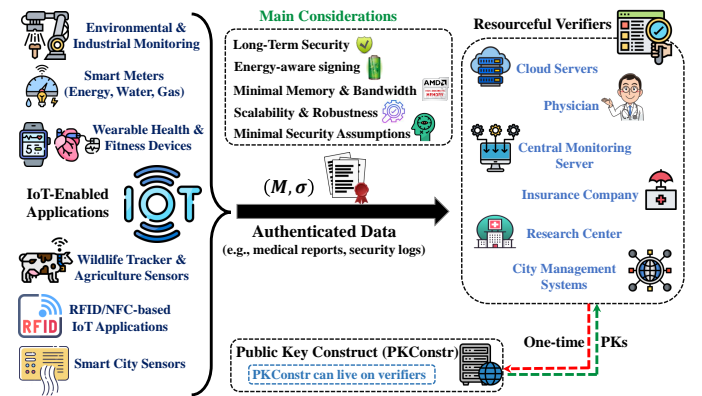


Fig. 1: System model

• **Signer:** A resource-constrained IoT device, such as a smart sensor, pacemaker, or implantable medical device (see Figure 1), responsible for signing and broadcasting messages to verifiers. These messages often contain sensitive data (e.g., heart rate, security logs), where data integrity and source authenticity are crucial for usability. The signer prioritizes efficient, energy-aware computations with minimal memory and bandwidth usage, while ensuring long-term security.

• *Verifier*: A resourceful entity (e.g., cloud server, physician, monitoring center) that authenticates messages from signers. It independently constructs one-time public keys from the master public key ( $MPK$ ), enabling it to derive any public key  $PK_i^j$  for any user  $ID_i$  in a network of (e.g.,  $N = 2^{20}$ ) signers. Additionally, we propose an optional approach where non-resourceful verifiers can outsource public key derivation to a resourceful entity (e.g., a cloud server) via FHE computations, without relying on semi-honest entities or trusted parties.

**Threat and Security Model:** Our threat model is based on an adversary  $\mathcal{A}$  equipped with the following capabilities:

- 1) *Passive attacks*: aim to monitor and interpret the output of the signature generation interface.
- 2) *Active attacks*: aim to intercept, forge, and modify messages and signatures sent from IoT devices. We assume that the adversary is equipped with a quantum computer.

We follow the standard Existential Unforgeability under Chosen Message Attack (EU-CMA) model [59]. It captures a Probabilistic Polynomial Time (PPT) adversary ( $\mathcal{A}$ ) aiming at forging message-signature pairs.  $\mathcal{A}$  is able to run passive and active attacks. The EU-CMA experiment is defined as follows:

**Definition 5** The EU-CMA experiment  $Expt_{\text{PKO-SGN}}^{\text{EU-CMA}}$  for an PKO-SGN digital signature scheme is defined as follows:

- $(PK, sk, I) \leftarrow \text{PKO-SGN.Kg}(1^\kappa, \vec{ID})$
- $(M^*, \sigma^*) \leftarrow \mathcal{A}^{\text{PKO-SGN.Sig}_{sk}(\cdot), \text{PKO-SGN.PKConstr}(\cdot)}(PK)$ :
- If  $1 \leftarrow \text{PKO-SGN.Ver}(PK, M^*, \sigma^*)$  and  $M^*$  was not queried to  $\text{PKO-SGN.Sig}_{sk}(\cdot)$ , then return 1, else 0.

The advantage of  $\mathcal{A}$  in this experiment is defined as  $Adv_{\text{PKO-SGN}}^{\text{EU-CMA}}(\mathcal{A}) = \Pr[Expt_{\text{PKO-SGN}}^{\text{EU-CMA}} = 1]$ . The EU-CMA advantage of PKO-SGN is defined as  $Adv_{\text{PKO-SGN}}^{\text{EU-CMA}}(t, q_s) = \max\{Adv_{\text{PKO-SGN}}^{\text{EU-CMA}}(\mathcal{A})\}$ , where  $t$  is the time complexity of  $\mathcal{A}$  and  $q_s$  is the number of queries to the public key constructor and signing oracles.  $\text{PKO-SGN.Sig}_{sk}(\cdot)$  and  $\text{PKO-SGN.PKConstr}(\cdot)$  are as follows:

- 1) *Signing oracle*  $\text{PKO-SGN.Sig}_{sk}(\cdot)$ : Given an input message  $M$ , it output a signature  $\sigma \leftarrow \text{PKO-SGN.Sig}_{sk}(M)$ .
- 2) *Public key construct oracle*  $\text{PKO-SGN.PKConstr}(\cdot)$ : Given the public key  $PK$ , user identity  $ID_i$ , and counter  $j$ , it returns the one-time public key  $PK_i^j$ . Note that unlike previous public key constructors (e.g., [9], [3]),  $\text{PKO-SGN.PKConstr}(\cdot)$  does not require a root of trust on introduced entities (e.g., [3], [22]) and can be run based on public key data.  $\text{PKO-SGN.PKConstr}(\cdot)$  may be run by the verifier or a resourceful third party.

#### IV. THE PROPOSED SCHEME

We first present our proposed scheme, LiteQS. We then describe its instantiations, design rationale, and optimizations. The main bottleneck of hash-based digital signatures is the generation and management of one-time public keys. As outlined in Section I-A, the existing alternatives rely on hyper-tree structures that incur extreme signature generation and transmission overhead. A trivial yet insecure approach would be to share the master secret key with a trusted party that

replenishes one-time keys for the verifiers (e.g., [51]). However, this invalidates the non-repudiation and makes the system vulnerable to key compromises. Moreover, it is not scalable to large-IoTs due to the massive transmission overhead.

We address this public key management conundrum by introducing a novel approach that permits verifiers to construct one-time keys from a master public key via encrypted evaluations. Our idea is to wrap the master secret key with homomorphic encryption and then enable any verifier to retrieve one-time public keys for any valid signer  $ID_i$  and message  $M_i^j$ . This allows signers to achieve optimal efficiency concerning HORS since it only computes and broadcasts one HORS signature per message. The verifiers can construct one-time public keys via encrypted evaluations without the risk of private key compromises. Our approach effectively transforms one-time HORS into practically unbounded hash-based signature with minimal signer computations, and therefore, fittingly, we name our new scheme LiteQSign (LiteQS). We provide the details of LiteQS in Algorithm 1.

The key generation algorithm  $\text{LiteQS.Kg}$ , first derives the master signing key  $msk$  and sets up the public parameters  $I$  including HORS, FHE, and DM parameters as in Definition 1, 2, 3, respectively (Step 1). It derives the initial private key  $\gamma_i$  (seed) of each signer  $ID_i$  (Step 2-3). It then generates an FHE key pair  $(sk', PK')$ , encrypts  $msk$  with  $PK'$  to generate the master public key  $MPK$ , and sets LiteQS public key as  $PK = (PK', MPK)$  (Step 5). As elaborated in public key construction, this permits any verifier to extract a one-time public key from the master public key under encryption without exposing it. Finally, all key pairs are distributed to the verifiers and signers (Step 6).

The signature generation  $\text{LiteQS.Sig}$  for signer  $ID_i$  begins by deriving the private key  $sk_i^j$  from the seed  $\gamma_i$  based on the message state (counter)  $j$  (Step 1). The signing process follows  $\text{HORS.Sig}$ , except that the signature elements  $\{s_i^{j,\ell}\}_{\ell=1}^k$  are computed via PRF evaluations using  $sk_i^j$  instead of random generation (Steps 2-4). Finally, the signer updates the state  $j$  and discloses the HORS signature (Step 5).

$\text{LiteQS.PKConstr}$  algorithm enables any verifier to generate the one-time public key  $PK_i^j$  under FHE encryption associated with a valid  $ID_i \in \vec{ID}$  without any interaction with the signer or having to access private keys  $(msk, sk')$ . It first derives the initial seed  $\gamma_i$  of  $ID_i$  under FHE encryption that is preserved in  $c\gamma_i$  (Step 1). It then pinpoints the private key  $sk_i^j$  of state  $j$ , which is sealed under  $csk_i^j$  (Step 2). Note that the signer used  $sk_i^j$  to derive HORS signature components for  $M_i^j$ . Finally, it generates the FHE encryption of HORS one-time public key for the state  $j$  by evaluating  $f(\cdot)$  and PRF under encryption (Step 4-5).

The signature verification  $\text{LiteQS.Ver}$  resembles  $\text{HORS.Ver}$ , but starts by constructing public keys using  $\text{LiteQS.PKConstr}$  and the signature verification is performed under encryption. The verifier performs  $f$  evaluations on the received  $k$  elements of the signature subset and encrypts the output using FHE. Next, the verifier evaluates the comparison function  $\text{CMP}$  under encryption via  $\text{FHE.Eval}$ . As we will shortly discuss in Section IV-A, the verifier may construct public keys offline



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**Algorithm 1** LiteQSign Scheme (LiteQS)
 

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 $(PK, \vec{\gamma}, I) \leftarrow \text{LiteQS.Kg}(1^\kappa, \vec{ID} = \{ID_i\}_{i=1}^N):$ 
1:  $msk \xleftarrow{\$} \{0,1\}^\kappa$  and set  $I \leftarrow (I_{\text{HORS}} = (k, t), I_{\text{FHE}}, I_{\text{DM}})$ 
   according to Definitions 1, 2, 3.
2: for  $i = 1, \dots, N$  do
3:    $\gamma_i \leftarrow \text{PRF}(msk, ID_i)$ 
4:    $(sk'_i, PK', I_{\text{FHE}}) \leftarrow \text{FHE.Kg}(1^\kappa)$ 
5:    $MPK \leftarrow \text{FHE.Enc}(PK', msk), PK = \langle PK', MPK \rangle$ 
6: return  $(PK, \vec{\gamma} = \{\gamma_i\}_{i=1}^N, I)$ , where  $\gamma_i$  is securely given to
    $ID_i$ 

```

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```

 $\sigma_i^j \leftarrow \text{LiteQS.Sig}(\gamma_i, M_i^j)$ : The signer  $ID_i$  computes a sig-
nature on a message  $M_i^j$  as follows:
1:  $sk_i^j \leftarrow \text{PRF}(\gamma_i, j)$ 
2:  $h_i^j \leftarrow H(M_i^j)$ , split  $h_i^j$  into  $k$  sub-strings  $\{h_i^{j,\ell}\}_{\ell=1}^k$  where
    $|h_i^{j,\ell}| = \log_2 t$ , and interpret each  $\{h_i^{j,\ell}\}_{\ell=1}^k$  as an integer
    $\{x_i^{j,\ell}\}_{\ell=1}^k$ .
3: for  $\ell = 1, \dots, k$  do
4:    $s_i^{j,\ell} \leftarrow \text{PRF}(sk_i^j, x_i^{j,\ell})$ 
5: Set  $j \leftarrow j + 1$ 
6: return  $\sigma_i^j = (s_i^{j,1}, s_i^{j,2}, \dots, s_i^{j,k}, j)$ 

```

---

```

 $cv_i^j \leftarrow \text{LiteQS.PKConstr}(PK, ID_i, j)$ : Performed by the
verifier for a given  $ID_i \in \vec{ID}$  and state  $j$ , in offline mode
before receiving signatures, or optionally outsourced to a
powerful entity.
1:  $c\gamma_i \leftarrow \text{FHE.Eval}(PK', \text{PRF}(MPK, ID_i))$ 
2:  $csk_i^j \leftarrow \text{FHE.Eval}(PK', \text{PRF}(c\gamma_i, j))$ 
3: for  $\ell = 1, \dots, t$  do
4:    $cv_i^{j,\ell} \leftarrow \text{FHE.Eval}(PK', f(\text{PRF}(csk_i^j, \ell)))$ 
5: return  $cv_i^j = (cv_i^{j,1}, cv_i^{j,2}, \dots, cv_i^{j,t})$ 

```

---

```

 $b_i^j \leftarrow \text{LiteQS.Ver}(PK, M_i^j, \sigma_i^j):$ 
1:  $cv_i^j \leftarrow \text{LiteQS.PKConstr}(PK, ID_i, j)$ 
2: Execute Step 2 in LiteQS.Sig
3: for  $\ell = 1, \dots, k$  do
4:    $v_i^{j,\ell} \leftarrow f(s_i^{j,\ell})$ 
5:    $CV_\ell^j \leftarrow \text{FHE.Enc}(PK', v_i^{j,\ell})$ 
6:    $b_i^{j,\ell} \leftarrow \text{FHE.Eval}(PK', \text{CMP}(cv_i^{j,x_i^{j,\ell}}, CV_\ell^{j,\ell}))$ 
7: if  $b_i^{j,\ell} = 1, \forall \ell = 1, \dots, k$  then, return  $b_i^j = 1$  else, return
    $b_i^j = 0$ 

```

---

before receiving the message-signature pair. Additionally, to reduce the storage demands, the verifier may use an alternative method by providing the indices (i.e.,  $\{x_i^{j,\ell}\}_{\ell=1}^k$  in Step 2, LiteQS.Sig) instead of the counter  $j$  to the LiteQS.PKConstr routine.

#### A. LiteQS Instantiations and Optimizations

The generic LiteQS in Algorithm 1 can be instantiated with any FHE, PRF and  $f(\cdot)$  as OWF. However, these instantiation choices make a drastic impact on performance, security, and practicality. In the following, we articulate our instantiation rationale and their potential optimizations.

**BGV Cryptosystem as the FHE Instantiation:** There exist various classes and schemes of FHE [60]. We instantiated our FHE with BGV cryptosystem [56] for the following reasons: (i) BGV is considered as a benchmark for FHE instantiations.

It is well-studied and implemented in different libraries like HELib. (ii) We employ the Ring-Learning With Error (R-LWE) based BGV that offers an ideal security-efficiency trade-off. (iii) BGV is amenable to parallelism and supports CRT-based encoding techniques to allow entry-wise arithmetic. (iv) It facilitates leveled-FHE, enabling the evaluation of a predetermined depth circuit without necessitating any bootstrapping.

**Performance Hurdles of Traditional Cryptographic Hash Functions in FHE Settings:** Presuming it takes hundreds of clock cycles for a modern processor to handle a single block cipher encryption, it takes millions of clock cycles to complete the same task under FHE. Since LiteQS.PKConstr requires FHE evaluations, we require FHE-friendly cryptographic primitives that suit the needs of LiteQS. The hash-based signatures usually rely on traditional hash functions  $H$  to realize both the message compression and one-way function  $f(\cdot)$ . However, it was shown that ARX-based primitives like SHA-256 and BLAKE are not suitable for FHE evaluations. For instance, SHA-256 requires 3311 FHE levels, which is infeasible for many practical purposes [61]. Recent efforts have explored homomorphic evaluation of hash functions such as SHA256, SM3, etc., utilizing FHE schemes like TFHE [62] that enable rapid bootstrapping. However, they remain considerably distant from practical application, with execution times on the order of minutes [63], [64].

**Mitigating Encrypted Evaluation Hurdles via Davies-Meyer as OWF:** We made a key observation that  $f(\cdot)$  needs only OWF property but not a full cryptographic hash function. This permits us to consider alternative hash designs that rely on symmetric ciphers that are suitable for FHE evaluations. Consequently, we can leverage the best properties from both cryptographic realms.

The symmetric ciphers generally have lower multiplicative complexity (depth and size) compared to the traditional hash functions, with cheaper linear operations favoring more efficient FHE evaluations. Moreover, when evaluated under encryption, they can serve as OWF with proper instantiations. We have investigated various options and identified that a block cipher-based hash function named, Davies-Meyer (DM) [58], satisfies our efficiency and OWF prerequisites for the encrypted evaluation purposes. Compared to other constructions, DM structure is lighter than one-way double-block-length compression methods (e.g. Hirose [65]), and allows for key-setup and encryption parallelization as opposed to other single-block-length one-way compression functions.

**Selection of Suitable Cipher for DM Instantiation:** We decided that AES is a suitable choice for our DM instantiation: (i) It is widely deployed with several optimized implementations. (ii) It has a low number of rounds with no integer operations. (iii) The AES circuit has an algebraic structure that is compliant with parallelism, packing techniques, and GPU optimizations [61]. (iv) Compared to other hash functions, the AES-based DM has a smaller and fixed-size memory to store hash values iteratively. (v) Finally, homomorphic evaluation of AES has been well-studied and available in existing libraries (e.g., HELib [66]).

**Optimizations:** We introduce online-offline optimizations to permit an efficient signature verification. (i) The public key

construction is independent of messages to be verified and can be executed for any  $ID_i$  and state information beforehand. Therefore, the verifier can run  $\text{LiteQS.PKConstr}$  with batch processes offline, and use these encrypted public keys to efficiently verify signatures online. As shown in Section VI, this offers tremendous performance gains for the online verification. (ii) Instead of generating full-set of  $t$  keys, the verifier can only construct  $k$  one-time public key components required for verification, thereby reducing the numbers of FHE evaluations. (iii) Recall that  $\text{LiteQS.PKConstr}$  does not take any private input and can be publicly executed by any entity. Therefore, optionally, the verifier can offload offline execution of  $\text{LiteQS.PKConstr}$  to a resourceful entity (e.g., cloud server). In exchange for a transmission delay, this approach can lift the major burden of FHE evaluations from the verifier, while enabling the resourceful entity to employ several parallelization and GPU-acceleration capabilities that are amenable to our  $\text{LiteQS}$  instantiations.

## V. SECURITY ANALYSIS

We prove that  $\text{LiteQS}$  is EU-CMA secure as follows.

**Theorem 1**  $\text{Adv}_{\text{LiteQS}}^{\text{EU-CMA}}(t, q_s) \leq q_s \cdot \text{Adv}_{\text{HORS}}^{\text{EU-CMA}}(t', q'_s)$ , where  $q'_s = q_s + 1$  and  $\mathcal{O}(t') = \mathcal{O}(t) + q_s \cdot (k \cdot \text{PRF} + (t + 2) \cdot \text{FHE.Eval}(\text{PRF}))$  (we omit terms negligible in terms of  $\kappa$ ).

*Proof:* Let  $\mathcal{A}$  be the  $\text{LiteQS}$  attacker. We construct a simulator  $\mathcal{F}$  that uses  $\mathcal{A}$  as a subroutine to break one-time EU-CMA secure HORS, where  $(\overline{sk}, \overline{PK}, I_{\text{HORS}}) \leftarrow \text{HORS.Kg}(1^\kappa)$  (Definition 1).  $\mathcal{F}$  is given the challenge  $\overline{PK}$ , on which  $\mathcal{A}$  aims to produce a forgery.  $\mathcal{F}$  has access to the HORS signing oracle under secret key  $\overline{sk}$ .  $\mathcal{F}$  maintains two lists  $\mathcal{LM}$  and  $\mathcal{LS}$  to record the queried messages and  $\text{LiteQS.Sig}_{\overline{sk}}(\cdot)$  outputs.  $\mathcal{F}$  randomly chooses a target forgery index<sup>2</sup>  $w \in [1, q_s]$ .  $\mathcal{A}$  uses a user identity  $ID_i \in \overrightarrow{ID}$ , where  $i \xleftarrow{\$} \{1, \dots, N\}$ .

**Algorithm  $\mathcal{F}(\overline{PK}, I_{\text{HORS}})$**

• **Setup:**  $\mathcal{F}$  is run as in Definition 5:

- (1)  $msk \xleftarrow{\$} \{0, 1\}^\kappa$ .
- (2)  $I \leftarrow (I_{\text{HORS}}, I_{\text{FHE}}, I_{\text{DM}})$ , where  $(I_{\text{FHE}}, I_{\text{DM}})$  are as in Definition 2-3, respectively.
- (3)  $(sk', PK', I_{\text{FHE}}) \leftarrow \text{FHE.Kg}(1^\kappa)$ .
- (4)  $MPK \leftarrow \text{FHE.Enc}(PK', msk)$ ;  $PK = (PK', MPK)$ .
- (5)  $sk_i^0 \leftarrow \text{PRF}(msk, ID_i)$ .
- (6)  $sk = \{sk_i^j \leftarrow \text{PRF}(sk_i^0, j)\}_{j=1, j \neq w}^{q_s}$ .
- (7)  $\{cv_i^j \leftarrow \text{LiteQS.PKConstr}(PK, ID_i, j)\}_{j=1, j \neq w}^{q_s}$ .

**Execute  $\mathcal{A}^{\text{LiteQS.Sig}_{\overline{sk}}(\cdot), \text{LiteQS.PKConstr}(\cdot), \text{HORS.Sig}_{\overline{sk}}(\cdot)}(PK, \overline{PK})$ :**

• **Queries:**  $\mathcal{F}$  handles  $\mathcal{A}$ 's queries as follows:

(1)  $\text{LiteQS.Sig}_{\overline{sk}}(\cdot)$ :  $\mathcal{F}$  returns  $\sigma_i^w \leftarrow \text{HORS.Sig}_{\overline{sk}}(M_i^w)$  by querying HORS signing oracle, if  $j = w$ . Otherwise,  $\mathcal{F}$  runs the steps (2-5) in  $\text{LiteQS.Sig}$  to compute  $\sigma_i^j$  under  $sk_i^j$ .  $\mathcal{F}$  inserts  $M_i^j$  to  $\mathcal{LM}$  and  $(M_i^j, \sigma_i^j)$  to  $\mathcal{LS}$  as  $\sigma_i^j \leftarrow \mathcal{LS}[M_i^j]$ .

<sup>2</sup>We follow SPHNICS+ [41] where the maximum number of signing queries is  $2^{40} \leq q_s \leq 2^{60} \ll 2^\kappa$

(2)  $\text{LiteQS.PKConstr}(\cdot)$  **Queries:** If  $j = w$  then  $\mathcal{F}$  returns  $cv_i^w = \text{FHE.Enc}(PK', \overline{PK})$ . Otherwise,  $\mathcal{F}$  returns  $cv_i^j$ .

• **Forgery of  $\mathcal{A}$ :**  $\mathcal{A}$  produces a forgery  $(M^*, \sigma^*)$  on  $\overline{PK}$ .  $\mathcal{A}$  wins the EU-CMA experiment if  $1 \leftarrow \text{LiteQS.Ver}(PK, M^*, \sigma^*)$  and  $M^* \notin \mathcal{LM}$  conditions hold, and returns 1, else returns 0.

• **Forgery of  $\mathcal{F}$ :** If  $\mathcal{A}$  fails to win the EU-CMA experiment for  $\text{LiteQS}$ ,  $\mathcal{F}$  also fails to win the EU-CMA experiment for HORS. As a result,  $\mathcal{F}$  aborts and returns 0. Otherwise,  $\mathcal{F}$  checks if  $1 \leftarrow \text{HORS.Ver}(\overline{PK}, M^*, \sigma^*)$  and  $M^*$  was not queried to the HORS signing oracle (i.e.,  $\text{HORS.Sig}_{\overline{sk}}(\cdot)$ ). If these conditions hold,  $\mathcal{F}$  wins the EU-CMA experiment against HORS and returns 1. Otherwise,  $\mathcal{F}$  aborts and returns 0.

• **Success Probability Analysis:** We analyze the events that are needed for  $\mathcal{F}$  to win the EU-CMA experiment as follows:

(1)  $\mathcal{F}$  does not abort during  $\mathcal{A}$ 's queries with  $\Pr[\overline{\text{Abort1}}]$ :  $\mathcal{F}$  can answer all of  $\mathcal{A}$ 's signature queries, since it knows all private keys except  $j = w$ , for which it can retrieve the answer from HORS signature oracle.  $\mathcal{F}$  sets  $PK_i^w = \text{FHE.Enc}(PK', \overline{PK})$  and can answer all other queries by running the public key construction algorithm. The only exception occurs if  $\text{FHE.Eval}(\cdot)$  produces an incorrect  $PK_i^j$  during the simulation, which occurs with a negligible probability in terms of  $\kappa$  due to the correctness property of FHE. Therefore, we conclude  $\Pr[\overline{\text{Abort1}}] \approx 1$ .

(2)  $\mathcal{A}$  produces a valid forgery with  $\Pr[\text{Forge}|\overline{\text{Abort1}}]$ : If  $\mathcal{F}$  does not abort during the queries, then  $\mathcal{A}$  also does not abort, since its simulated view is computationally indistinguishable from the real view (see indistinguishability argument below). Hence, the probability that  $\mathcal{A}$  produces a forgery against  $\text{LiteQS}$  is  $\Pr[\text{Forge}|\overline{\text{Abort1}}] = \text{Adv}_{\text{LiteQS}}^{\text{EU-CMA}}(q_s, t)$ . There are three events that may also lead to  $\mathcal{A}$ 's forgery: (i)  $\mathcal{A}$  breaks the subset-resiliency of  $H$ , whose probability is negligible in terms of  $\kappa$  [42]. (ii)  $\mathcal{A}$  breaks IND-CPA secure FHE and recovers the master secret key  $msk$ , which permits a universal forgery. The probability that this happens is negligible in terms of  $\kappa$  for sufficiently large security parameters [56]. (iii)  $\mathcal{A}$  breaks the evaluation of the comparison circuit for all  $k$  signatures (i.e.,  $b_i^{j,\ell} = 1, \forall \ell = 1, \dots, k$ ), which occurs with a probability that is  $\frac{1}{k} \times$  negligible in relation to  $\kappa$ . (iv)  $\mathcal{A}$  inverts DM by breaking the underlying IND-CPA cipher, which also happens with negligible probability in terms of  $\kappa$  [58]. Therefore, they are omitted in the theorem statement.

(3)  $\mathcal{F}$  does not abort after  $\mathcal{A}$ 's forgery with  $\Pr[\overline{\text{Abort2}}|\overline{\text{Abort1}} \wedge \text{Forge}]$ :  $\mathcal{F}$  does not abort if  $\mathcal{A}$ 's forgery is on the target public key  $PK_i^w$ . Since  $w$  is randomly selected from  $[1, q_s]$ , this occurs with  $1/q_s$ .

(4)  $\mathcal{F}$  wins the EU-CMA experiment with  $\text{Adv}_{\text{HORS}}^{\text{EU-CMA}}(t', q'_s)$ :  $\Pr[\text{Win}] = \Pr[\overline{\text{Abort1}}] \cdot \Pr[\text{Forge}|\overline{\text{Abort1}}] \cdot \Pr[\overline{\text{Abort2}}|\overline{\text{Abort1}} \wedge \text{Forge}]$ . Therefore,  $\Pr[\text{Win}]$  is bounded as:

$$\text{Adv}_{\text{LiteQS}}^{\text{EU-CMA}}(t, q_s) \leq q_s \cdot \text{Adv}_{\text{HORS}}^{\text{EU-CMA}}(t', q'_s)$$

• **Execution Time Analysis:** The running time of  $\mathcal{F}$  is that of  $\mathcal{A}$  plus the time required to respond to  $q_s$  public key and signature queries. Each signature query demands  $H$  and  $k \cdot$

$\text{PRF}(\cdot)$ ; and each  $\text{LiteQS.PKConstr}(\cdot)$  query needs  $(t + 2) \cdot \text{FHE.Eval}(\text{PRF})$ . The approximate running time of  $\mathcal{F}$  is  $\mathcal{O}(t') = \mathcal{O}(t) + q_s \cdot (k \cdot \text{PRF} + (t + 2) \cdot \text{FHE.Eval}(\text{PRF}))$ .

- **Indistinguishability Argument:** In the real view of  $\mathcal{A}$  ( $\mathcal{A}_{\text{real}}$ ), all values are computed from the master secret key and seeds as in the key generation, signing, and public key construction algorithms. The simulated view of  $\mathcal{A}$  ( $\mathcal{A}_{\text{sim}}$ ) is identical to  $\mathcal{A}_{\text{real}}$ , except  $PK_i^w$  is replaced with the challenge HORS public key. This implies that  $(sk_i^w = \overline{sk}, PK_i^w = \overline{PK})$  holds. Since  $\text{HORS.Kg}(\cdot)$  generates the secret keys random uniformly (Definition 1), the joint probability distribution of  $(sk_i^w, PK_i^w)$  in  $\mathcal{A}_{\text{sim}}$  is similar to that of  $\mathcal{A}_{\text{real}}$ . Therefore,  $\mathcal{A}_{\text{real}}$  and  $\mathcal{A}_{\text{sim}}$  are computationally indistinguishable. ■

**Corollary 1** *The LiteQS scheme provides PQ promises.*

*Proof:* Based on our preceding formal security analysis and the incorporation of cryptographic primitives such as FHE, PRF, and hash functions, the LiteQS scheme ensures PQ assurances. Specifically, the PRF and hash functions, being symmetric cryptography primitives, remain unaffected by Shor’s algorithm, while the impact of Grover’s probabilistic algorithm can be mitigated by scaling up the sizes, considering the potential of quantum computers. Additionally, the FHE schemes, exemplified by our instantiation, the BGV scheme [56], are constructed upon lattice-based hard problems (e.g., General-LWE), which provide PQ security. ■

## VI. PERFORMANCE ANALYSIS AND COMPARISON

In this section, we give a detailed performance analysis of LiteQS and compare it with its counterparts.

### A. Evaluation Metrics and Experimental Setup

**Evaluation Metrics:** Our analysis evaluates LiteQS and its analogous counterparts, with a main focus on the signer efficiency that includes: (i) private key and signature sizes which translates into small memory footprint and low memory access requirements. This not only reduces the energy consumption but also frees up more memory for main applications. It is particularly important for low-end IoT devices, which are characterized by limited memory space and relatively expensive memory access (e.g., 8-bit AVR microcontrollers). (ii) signing computational efficiency which translates into reduced energy consumption and longer battery lifetime for resource-limited devices. (iii) long-term security (i.e., PQ security) in order to offer resiliency against the quantum computing breaches (e.g., Shor’s algorithm [17]).

**Parameter Selection:** Our system-wide parameters are  $I = (I_{\text{HORS}}, I_{\text{FHE}}, I_{\text{DM}})$ . We choose  $I_{\text{HORS}} \leftarrow (k = 16, t = 1024)$ , where SHA-256 and DM are used as  $H$  and  $f$  (i.e., OWF), respectively. In  $I_{\text{DM}}$ , we choose AES-128 as our PRF. In  $I_{\text{FHE}}$ , we set the plaintext space of mod 2, the lattice dimension  $\phi(m) = 46080$ , where the  $m$ -th cyclotomic is  $m = 53261$ . We utilize a packing technique that empowers us to evaluate

120 blocks of AES at once. We set  $N = 2^{20}$  as the number of resource-constrained signers within the IoT network.

**Hardware Configuration:** We tested LiteQS on both commodity hardware and two selected embedded devices.

- **Commodity Hardware:** is a resourceful desktop equipped with an Intel i9-9900K@3.6GHz processor and 64GB of RAM.

- **Embedded device:** We evaluate LiteQS on an 8-bit ATmega128A1 microcontroller to assess its efficiency on embedded IoT devices. The microcontroller features 128 KB flash memory, 2 KB RAM, 8 KB EEPROM, and operates at a 32 MHz clock frequency.

**Software Configuration:** For the commodity hardware, we utilized the following libraries (i) OpenSSL<sup>3</sup> to implement SHA-256 (ii) HELib<sup>4</sup> to implement FHE functionalities (e.g., evaluation and comparison under encryption<sup>5</sup>). (iii) DM is implemented using the hardware-optimized AES-NI [69]. For the 8-bit AVR device, we employed the AVR cryptographic library<sup>6</sup> to implement AES-128. This library offers an optimized assembly implementation, resulting in minimal cycles for evaluating hashing and PRF calls.

**Selection Rationale of Counterparts:** The selection of our counterparts is based on the discussed evaluation metrics and the availability of open-source implementation and/or open-access benchmarks. Numerous digital signatures have been proposed in the literature that address the resource limitations of IoT devices. Nevertheless, few schemes address low-end embedded devices, such as our target 8-bit AVR MCU. In order to cover different signatures with the knowingly existing post-quantum intractability assumptions, we carefully selected (i) *lattice-based*: the NIST PQC standards Dilithium-II [28] and Falcon-512 [30]. They are considered the most prominent lattice-based signatures, with balanced efficiency between key sizes and signing efficiency. We also selected BLISS-I because it is the only lattice-based signature with a benchmark on an 8-bit AVR MCU [70]. (ii) *hash-based*: generally suffer from an expensive signing cost with larger key sizes. We selected the NIST PQC standard SPHINCS+ [41], a stateless signature scheme. We also selected XMSS<sup>MT</sup> [53] as a standard stateful hash-based signature with forward security. To our knowledge, there is no hash-based signature with a benchmark on 8-bit AVR MCUs. (iii) *multivariate-based*: are known to be computationally efficient in terms of signing and verification with small signature and public key sizes. However, they generally suffer from large private key sizes, resulting in high memory usage and frequent access. This limitation might be problematic when deployed on highly constrained 8-bit devices with 128KB of static flash memory. There exist numerous multivariate-based digital signatures that have been proposed (e.g., [71], [26]). We identified HiMQ-3<sup>Big</sup> [26] that achieve a high signing efficiency on an 8-bit AVR ATmega384C3. However, we observed a high memory usage that includes

<sup>3</sup><https://github.com/openssl/openssl>

<sup>4</sup><https://github.com/homenc/HELlib>

<sup>5</sup><https://github.com/iliailia/comparison-circuit-over-fq/tree/master>

<sup>6</sup><https://github.com/cantora/avr-crypto-lib>



TABLE I: Performance comparison of LiteQS and its counterparts on commodity hardware

Scheme	Signing Time ( $\mu$ s)	Private Key	Signature Size	Verification Time ( $\mu$ s)	Verifier Storage			Post-Quantum Promise	Sampling Operations	Simple Code Base
					Pub Key	Cert.	Tot. ( $2^{20}$ users) (GB)			
ECDSA [67]	16.98	0.06	0.06	46.41	0.09	0.06	0.16	×	×	×
Ed25519 [68]	16.39	0.06	0.06	39.75	0.09	0.06	0.16	×	×	×
BLISS-I [31]	244.97	2.00	5.6	25.21	7.00	5.6	12.6	✓	✓	×
Dilithium-II [28]	93.76	2.29	2.36	18.73	1.28	2.36	3.75	✓	✓	×
Falcon-512 [30]	184.74	1.29	0.65	32.16	0.88	0.65	1.53	✓	✓	×
SPHINCS+ [41]	5,441.58	0.13	32.63	549.63	0.06	32.63	32.69	✓	×	×
XMSS <sup>MT</sup> [53]	10,682.35	3.11	2.61	2,098.84	0.75	2.61	3.36	✓	×	×
LiteQSign	4.81	0.02	0.25	Ver (Online)	9.42 MB			✓	×	✓
				PKConstr (Offline)						
				1.91 s						
				41.22 s						

The private/public key, signature, and certificate sizes are in KB. LiteQS and NIST PQC candidates use architecture-specific optimizations (i.e., AESNI, AVX2 instructions). For XMSS<sup>MT</sup>, we choose the XMSST\_MT\_SHA2\_20\_256 variant. For SPHINCS+, we set  $n = 256$ ,  $h = 63$ ,  $d = 9$ ,  $b = 12$ ,  $k = 29$ ,  $w = 16$ . The total verifier storage denotes the storage required to verify ( $J = 2^{30}$ ) signatures for ( $N = 2^{20}$ ) signers.

the private key size and code size, occupying 72.38% of the flash read-only memory of our target ATxmega128A1. Therefore, we omit it from our performance analysis due to the high memory usage. (iv) *conventional signatures*: We also considered non-PQ signature schemes. Although they do not achieve long-term security, ECC-based signature schemes are signer-efficient with small key sizes. We selected the mostly-used standards, ECDSA [67] and Ed25519 [68]. Other conventional (e.g., pairing-based [72]) digital signatures incur expensive operations during signature generation, therefore not practical for resource-limited IoT devices.

### B. Performance on Signer

Performance comparisons on commodity hardware and the embedded device are shown in Tables I and II, respectively.

- *Memory Usage*: LiteQS achieves the lowest memory usage by having the smallest private key size among its counterparts. For example, the private key of LiteQS is  $3\times$  and  $114\times$  smaller than that of the conventional signer-efficient Ed25519 and PQ-secure Dilithium standards, respectively. The private key is  $22\times$  smaller than that of the most efficient lattice-based counterpart, BLISS-I [31], respectively. It is without incurring large code size and expensive costly sampling operations that may result in side-channel attacks [33]. Notably, LiteQS consumes less memory than its most signer-efficient and PQ-secure counterpart, HiMQ-3<sup>Big</sup> [26], by having a significantly smaller private key size. The cryptographic storage (including the code size) of HiMQ-3<sup>Big</sup> utilizes 72.38% of the overall flash memory size of an 8-bit AVR ATxmega128A1, whereas LiteQS utilizes only 2.8%. We argue that the cryptographic data should occupy minimal space, particularly in resource-limited devices (e.g., pacemakers, medical implants). Indeed, the embedded devices generate system-related (e.g., log files) and application-related (e.g., sensory information) data, which may cause memory overflow, considering the high memory cryptographic usage. It is noteworthy that we do not assess the impact of memory access on the battery lifetime of the embedded device. We foresee a high energy usage of multivariate-based signatures compared to that of LiteQS, considering the high cost of both memory and stack usage.

- *Bandwidth Overhead*: LiteQS boasts a compact sig-

nature size that is  $9.4\times$  and  $2.6\times$  smaller than the NIST PQC standards, Dilithium-II and Falcon-512, respectively. The signature size of LiteQS is also  $22\times$  smaller than that of the most-efficient lattice-based BLISS-I. A small signature size results in low transmission overhead, thereby minimizing energy consumption on resource-constrained IoT devices. This reduced energy expenditure is crucial for extending the operational lifespan of devices that often operate on limited power sources.

- *Signature Generation*: Table I demonstrates that among our counterparts (i.e., conventional-secure and post-quantum), LiteQS exhibits the fastest signing time and the lowest signer storage overhead. It is  $10\times$  and  $43\times$  faster than the NIST PQC standards, Dilithium-II and Falcon-512, respectively. The computational performance advantages at the signer of LiteQS become even more apparent on embedded devices. Based on 8-bit AVR MCU results in Table II, the signing time of LiteQS is  $20\times$  and  $44\times$  faster than the most efficient PQ-secure BLISS-I and conventional-secure Ed25519, respectively.

- *Energy Consumption*: The high signing efficiency translates into better energy awareness on low-end IoT devices. To demonstrate the potential of LiteQS, in Figure 2, we profiled the battery depletion with respect to the signing operations. Specifically, we plot the battery status while solely performing signature generation operations on the 8-bit ATxmega128A1 MCU. Remind that, to the best of our knowledge, none of the selected NIST PQC signatures have an open-source implementation available on such resource-limited devices (i.e., 8-bit microcontrollers). The most prominent PQ alternatives with a reported performance on this platform are HiMQ-3<sup>Big</sup> [26] and BLISS-I [31]. We also included the most efficient ECC-based alternative Ed25519 and the widely-used ECDSA in our energy comparison to assess LiteQS performance with respect to (pre-quantum) conventional schemes. Figure 2 showcases that LiteQS offer the longest battery lifetime when only the cryptographic computation is considered. Hence, we confirm that LiteQS is the most suitable signature scheme for highly resource-constrained IoT devices.

We note that BLISS-I is vulnerable to side-channel attacks, which hinders its use in practice. Side-channel attack resiliency and ease of implementation are important factors for the prac-

TABLE II: Performance comparison of LiteQS and its counterparts at the signer side on 8-bit AVR AtMega2560 MCU

Scheme	Signing (cycles)	Secret Key (KB)	Signature Size (KB)	Post-Quantum Promise	Rejection Sampling	Ease of Implementation
ECDSA [67]	34,903,000	0.06	0.06	×	×	×
Ed25519 [68]	22,688,583	0.06	0.06	×	×	×
BLISS-I [31]	10,537,981	2	5.6	✓	✓	×
<b>LiteQSign</b>	<b>514,788</b>	<b>0.02</b>	<b>0.25</b>	✓	×	✓

The counterpart selection covers existent the most efficient conventional (ECDSA, Ed25519) and PQ-secure (BLISS) with an available benchmark on the selected 8-bit AVR MCU.

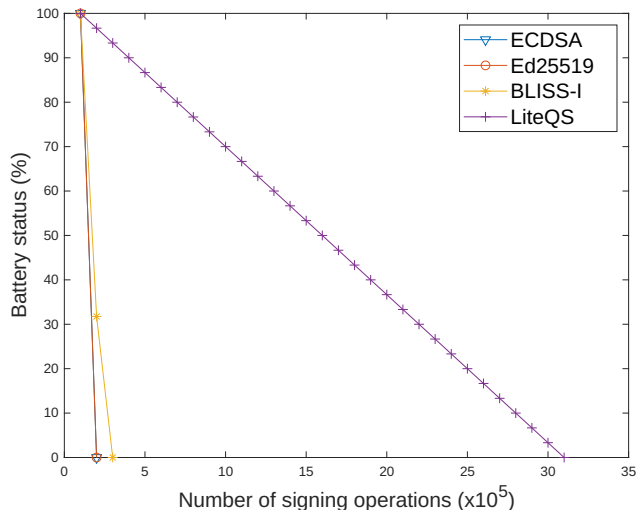


Fig. 2: Impact of signing on battery lifetime for AVR ATxmega128A1

tical deployment of signature schemes on embedded devices. Lattice-based signatures require various types of sampling operations (e.g., Gaussian, rejection samplings) that make them vulnerable to side-channel attacks [32]. Moreover, due to their complexity, they are notoriously difficult to implement on such platforms. As an example, Falcon needs 53 bits of precision to implement without emulation [73], which hinders its deployment on 8-bit microcontrollers. LiteQS signature generation requires only a few PRF calls. Hence, it is free from the aforementioned specialized side-channel and timing attacks that target sampling operations. Moreover, it is easy to implement since it only requires a suitable symmetric cipher (e.g., AES) and a cryptographic hash function (e.g., SHA256) with a minimal code size. Our analysis validates that LiteQS is the most suitable alternative among its counterparts to be deployed for signing on IoT applications due to its high computational efficiency, compact key and signature sizes, and high security.

### C. Performance on Verifier

While LiteQS is a signer-optimal scheme, we also introduced strategies to minimize the verifier computational and storage overhead. As explained in Section IV-A, the verifiers can generate public keys in offline mode (before signature verification), thereby improving the efficiency of online verification. Moreover, the verifiers have the option to outsource offline public key construction to a resourceful entity.

*Online Verification:* The online verification cost is comprised of  $k \times \text{PRF}(\cdot)$ ,  $k \times \text{FHE}.\text{Enc}(\cdot)$ , and  $k \times \text{FHE}.\text{Eval}(\cdot)$  of the comparison circuit. According to our implementation parameters, this is estimated to be approximately 1.913 seconds. Also, for further cost reduction, we strongly recommend an offline generation of public keys whenever possible.

*Offline Public Key Construction:* The main computational bottleneck of LiteQS is the offline phase. In our tests, the average cost of a single homomorphic AES evaluation per block is 2.29 seconds. Hence, the overall cost of generating  $k$  public key components is 41.22 seconds. We note that the offline computational overhead can be significantly reduced with parallelizations. For example, since each component of the HORS public key can be generated independently, they can be assigned to different threads or computing units. Moreover, as discussed in Section IV-A, encrypted AES evaluations via BGV are highly parallelizable, which is one of our reasons to opt for AES as our DM building block.

*Verifier Storage Overhead:* The total size of the master public key  $PK$  with the expansion per block evaluation is around 9.42 MB. If only a single signer is considered, the size of  $PK$  is much larger than that of its counterparts. However, LiteQS enables a verifier to construct public keys for *any valid* signer  $ID_i$  of any state. This unique property permits LiteQS to achieve compact storage for a large number of signers since the verifier does not need to store a certificate for their public keys. For example, the total storage (public key plus certificate) for  $2^{20}$  users is still 9.42 MB for LiteQS, while it is around 1.52 GB and 3.74 GB for Falcon-512 and Dilithium-II, respectively. The total storage advantage increases with a growing number of signers.

## VII. CONCLUSION

Given the widespread deployment of IoT devices in major real-world applications coupled with their imposed security concerns, we introduced *LiteQSign* (LiteQS), a lightweight PQ signature scheme tailored for resource-constrained IoT-enabled applications. By leveraging FHE, LiteQS allows verifiers to generate one-time public keys independently, removing the need for signer interaction or third-party trust. It is the first HORS-based scheme to support unbounded signing with minimal architectural overhead. Our results demonstrate that LiteQS surpasses NIST PQC standards with lower computational cost, reduced memory footprint, and efficient signing. Unlike existing solutions, it operates without trusted servers or secure enclaves, mitigating security risks while prolonging device battery life. Formal security proofs and experimental evaluations confirm that LiteQS delivers faster

signing with smaller key and signature sizes, making it a practical and scalable authentication solution for IoT networks.

## VIII. ACKNOWLEDGMENT

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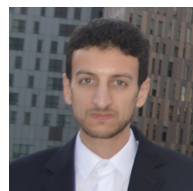
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